

11/15/01

12-03-01

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JC904 U.S. PTO

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

For new nonprovisional applications under 37 CFR § 1.53(b)

Attorney Docket No.	200005.US
Inventors	AGAPIOU, Agapios Kyriacos, KUO, Chi-I, GLOWCZWSKI, David M. and ACKERMAN, Steven K.
Title	A CATALYST COMPOSITION AND METHODS FOR ITS PREPARATION AND USE IN A POLYMERIZATION PROCESS
Express Mail Label No.	EV052786313US

JC955 U.S. PTO
09/998855

11/15/01

APPLICATION ELEMENTS	ADDRESS TO: Commissioner for Patents Box Patent Application Washington, D.C. 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)	5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4B is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
2. <input checked="" type="checkbox"/> Specification Total Pages - 36 - (preferred arrangement set forth below) <ul style="list-style-type: none">- Descriptive Title of the Invention- Cross References to Related Applications (if any)- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure	6. <input type="checkbox"/> Microfiche Computer Program (Appendix)
3. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets - 0 -	ACCOMPANYING APPLICATION PARTS
4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages - 3 - <ul style="list-style-type: none">a. <input type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 C. F.R. § 1.63(d) (for continuation/division with Box 15 completed) [Note Box 5 below]i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
	8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)
	9. <input type="checkbox"/> English Translation Document (if applicable)
	10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
	11. <input type="checkbox"/> Preliminary Amendment
	12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
	13. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
	14. <input type="checkbox"/> Other: _____

15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)


of prior applications No: _____, from which priority is claimed under 35 U.S.C. § 120

Prior application information: Examiner _____ Group Art Unit _____

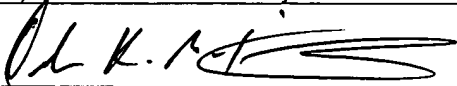
16. If a NONPROVISIONAL APPLICATION based upon a foreign or U.S. provisional application, priority is claimed from applications

No. 60/256,744, country US, filed on December 19, 2000.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label  or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Univation Technologies, LLC				
Address	5555 San Felipe, Suite 1950				
City	Houston	State	Texas	Zip Code	77056
Country	U.S.A.	Telephone	(713) 892-3711	Fax	(713) 892-3687
Name (print/type)	Osborne K. McKinney		Registration No. (Attorney/Agent)	40,084	
Signature			Date	October 31, 2001 11-15-01	

FEE TRANSMITTAL

Note: Effective November 10, 1998.
Patent fees are subject to annual revision.

Complete if Known

Application Number New Application
Filing Date November 15, 2001
Inventors Agapiou et al.
Group Art Unit Unassigned
Examiner Name Unassigned
Attorney Docket Number 2000U055.US

Total Amount of Payment (\$908.00)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: 50-0589

Deposit Account Name: Univation Technologies, LLC

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

FEE CALCULATION

1. FILING FEE

Large Entity Fee Code	Fee Description	Fee \$	Fee Paid
101	740 Utility filing fee		740.00
106	330 Design filing fee		
107	510 Plant filing fee		
108	740 Reissue filing fee		
114	160 Provisional filing fee		
SUBTOTAL (1)			740.00

2. CLAIMS

Extra Fee from below Fee Paid

Total Claims 18 - 20 = 0 x 18 = .00

Independent Claims 5 - 3 = 2 x 84 = 168

Multiple Dependent Claims 0 x 280.00 = .00

Large Entity

Fee Code	Fee\$	Fee Description
103	18	Claims in excess of 20
102	84	Independent claims in excess of 3
104	280	Multiple dependent claim
109	84	Reissue independent claims over original patent
110	18	Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		168.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee \$	Fee Description	FEES PAID
105	130	Surcharge - late filing fee or oath	
127	50	Surcharge - late provisional filing or cover sheet.	
139	130	Non-English specification	
147	2,520	For filing a request for reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	400	Extension for reply within second month	
117	920	Extension for reply within third month	
118	1,440	Extension for reply within fourth month	
128	1,960	Extension for reply within fifth month	
119	310	Notice of Appeal	
120	320	Filing a brief in support of an appeal	
121	280	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1,280	Petition to revive - unintentional	
142	1,280	Utility issue fee (or reissue)	
143	460	Design issue fee	
144	620	Plant issue fee	
122	130	Petition to the Commissioner	
123	50	Petitions related to provisional applications	
126	180	Submission of information Disclosure Stmt.	
581	40	Recording each patent assignment per property (times number of properties)	
148	110	Statutory disclaimer	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

.00

Typed or Printed Name Osborne K. McKinney

Reg. No. 40,084

Signature

Osborne K. McKinney

Date

November 15, 2001

Deposit Account User ID